Notice Pursuant to Bankruptcy Rule 3001

## UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

In re:

Lehman Brothers Holdings Inc.

Case No.: (JMP\_

Court ID (Court Use Only)



## NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY PURSUANT TO BANKRUPTCY RULE 3001(E)(2)

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

Name of Transferee:	Name of Transferor:		
Sparkasse Schwyz AG	Court Record Address of the Transferor: (Court use only)  Name and Current Address of Transferor UBS AG Bahnhofstrasse 45 8001 Zurich, Switzerland Attn: Mr. Hugo Koller +41 44 235 37 36 - hugo.koller@ubs.com		
Notices to Transferee should be sent to: Sparkasse Schwyz AG Herrengasse 23 Postfach 564 6431 Schwyz, Switzerland Attn. Marie-Theres Schuler Tel. ++41 41 819 02 75 Fax ++41 41 819 02 97 EMAIL: ws@sparkasse.ch			
Name and Address where transferee payments should be sent (if different from above):			
1. EUR 120'000.00 2. CHF 100'000.00 3. EUR 60'000.00 4. EUR 20'000.00	NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS		
Court Claim No. (if known): 59233			
Date Claim Filed: October 30, 2009			

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:

Sparkasse Schwyz AG Herrengasse 23 Postfach 564 6431 Schwyz, Switzerland Date:

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> Bruno Wige Vizedirektor

Erwin Baumann Handlungsbevollmächtigter 08-13555-mg Doc 56208 Filed 08/21/17 Entered 08/28/17 16:05:58 Main Document Pg 2 of 4

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gilli Arminit TodionicaniV Attn. Marie-Theres Schuler EMAIL: ws@sparkasse.ch

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 &3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

## **EVIDENCE OF TRANSFER OF CLAIM**

TO:

United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy

Court")

One Bowling Green

New York, New York 10004 Attention: Clerk of the Court

AND TO:

Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)

Proof of Claim Number: 59233 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"):

This Evidence of Transfer of Claim relates <u>solely</u> to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:

DESCRIPTION OF SECURITY	ISIN	ISSUER	GUARANTOR	FACE AMOUNT
Lehman Bros Treasury BV	XS0248142894	LBT BV	LBH Inc.	EUR 120'000.00
Lehman Bros Treasury BV	CH0027120812	LBT BV	LBH Inc.	CHF 100'000.00
Lehman Bros Treasury BV	CH0027120820	LBT BV	LBH Inc.	EUR 60'000.00
Lehman Bros Treasury BV	CH0034783693	LBT BV	LBH Inc.	EUR 20'000.00

The aggregate amount of the Transferred Claim is To Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on the Addendum to the Proof of Claim.

UBS AG, ("<u>Transferor</u>") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:

Sparkasse Schwyz AG
Herrengasse 23
Postfach 564
6431 Schwyz, Switzerland
Attn. Marie-Theres Schuler
Tel. ++41 41 819 02 75
Fax ++41 41 819 02 97
EMAIL: ws@sparkasse.ch

("<u>Transferee</u>") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. o8-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferree.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated May 12, 2017.

**UBS AG** 

Transferor

By:

Name: Matthias Mohos

Title: Associate Director

By:

Name: Stephan Gfeller 'Title: Associate Director

**ACKNOWLEDGED BY:** 

Sparkasse Schwyz AG

BA: -

Name: Brune Wiget

Title: Assistant Manager

By: \_

Name: Marie-Theres Schuler

Title: Assistant Vice President